

PATENT  
Docket No. 350292001300

CERTIFICATE OF HAND DELIVERY

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Jinrong Li

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Masaaki KOSAKA et al.

Serial No.: to be assigned

Filing Date: February 25, 2002

For: EXPRESSION ENHANCER FOR  
HM1.24 ANTIGEN

Examiner: to be assigned

Group Art Unit: to be assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, applicants submit for consideration the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted with the filing of the application.

No fee is required.

Applicants would appreciate the Examiner initialing and returning the copy of the Form PTO-1449 indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (I) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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**Deposit Account No. 03-1952.**

Dated: February 25, 2002

Respectfully submitted,

By:   
Barry E. Bretschneider  
Registration No. 28,055

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-1545  
Facsimile: (202) 263-8396

Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  <i>(Use several sheets if necessary)</i>		Docket Number 350292001300	Application Number to be assigned
		Applicant	Masaaki KOSAKA et al.
		Filing Date February 25, 2002	Group Art Unit to be assigned
		Mailing Date N/A	

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
1.							

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
2.		4/22/1999	WO99/18997	WO			

## OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	3.	Dadabase Biosis on STN, No. 2000:47072, & Ozaki, S. et al., "Interferon-alpha and -gamma enhance the HM1.24 expression on myeloma cells through the STAT-signaling pathway" blood, (Nov. 15, 1999) Vol. 94, No. 10 Suppl.1 Part 1, p. 549a.
	4.	Ozaki, S. et al., "Humanized anti-HM1.24 antibody mediates myeloma cell cytotoxicity that is enhanced by cytokine stimulation of effector cells" full text. Blood, (June 1999) Vol. 93. No. 11, P3922-30.
	5.	Verharr, Marlies ., et al., "in Vitro Upregulation of Carcinoembryonic antigen expression by combinations of cytokines" Cancer Lett., (May 1999), Vol. 139, No. 1.

EXAMINER: (examiner)

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.